AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorsuch, Neil M.	**2. Court or Organization** Supreme Court of the United States	**3. Date of Report** 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice of the Supreme Court of the United States	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2017 to 12/31/2017

7. Chambers or Office Address

Supreme Court of the United States
One First Street, NE
Washington, DC 20543

IMPORTANT NOTES:* *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Walden Group LLC (mountain property)
2.	Adjunct Professor	University of Colorado Law School
3.	Member	University of Chicago Law School's Visiting Committee
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2017	Princeton University Press - book royalties	$9,020.00
2.	2017	University of Colorado Law School - teaching	$2,600.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Marshall Scholars Organization	06/02/2017 - 06/03/2017	Boston, MA	Educational program	Transportation, meals, hotel
2.	University of Kentucky and University of Louisville	09/21/2017 - 09/22/2017	Louisville, KY and Lexington, KY	Educational program	Transportation, meals, hotel
3.	Academy of Achievement Dinner	10/16/2017 - 10/19/2017	London, England	Award, speech, educational program	Transportation, meals, hotel
4.	Harvard Law School	10/26/2017	Cambridge, MA	Attended Harvard Law School's Bicentennial	Transportation
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	05/15/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Acct #1 (H)									
2. - USAA Tax Exempt Money Mkt Fd	B	Dividend	P1	T	Sold (part)	01/18/17	J		
3.					Sold (part)	01/19/17	J		
4.					Buy (add'l)	02/13/17	J		
5.					Sold (part)	02/16/17	J		
6.					Sold (part)	02/17/17	J		
7.					Buy (add'l)	03/13/17	J		
8.					Sold (part)	03/16/17	J		
9.					Sold (part)	03/17/17	J		
10.					Buy (add'l)	04/06/17	K		
11.					Sold (part)	04/11/17	J		
12.					Sold (part)	04/12/17	J		
13.					Sold (part)	04/17/17	J		
14.					Buy (add'l)	04/24/17	L		
15.					Sold (part)	04/24/17	J		
16.					Sold (part)	05/01/17	J		
17.					Sold (part)	05/04/17	J		

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	05/12/17	J		
19.					Sold (part)	05/15/17	J		
20.					Sold (part)	05/18/17	K		
21.					Buy (add'l)	05/30/17	N		
22.					Sold (part)	06/05/17	J		
23.					Sold (part)	06/12/17	J		
24.					Sold (part)	06/13/17	K		
25.					Sold (part)	06/14/17	J		
26.					Sold (part)	06/15/17	J		
27.					Buy (add'l)	06/26/17	J		
28.					Sold (part)	06/27/17	J		
29.					Buy (add'l)	06/27/17	J		
30.					Sold (part)	06/29/17	J		
31.					Sold (part)	07/05/17	K		
32.					Sold (part)	07/06/17	J		
33.					Buy (add'l)	07/07/17	J		
34.					Sold (part)	07/10/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	07/12/17	J		
36.					Buy (add'l)	07/24/17	O		
37.					Buy (add'l)	08/01/17	O		
38.					Sold (part)	08/10/17	P1		
39.					Sold (part)	08/11/17	J		
40.					Sold (part)	08/14/17	J		
41.					Buy (add'l)	08/23/17	J		
42.					Sold (part)	09/12/17	J		
43.					Sold (part)	09/13/17	K		
44.					Sold (part)	09/18/17	J		
45.					Sold (part)	10/04/17	J		
46.					Sold (part)	11/08/17	J		
47.					Buy (add'l)	11/30/17	P1		
48. - USAA Tax Exempt Long Term Fd	C	Dividend			Sold	07/24/17	M		
49. - USAA High Income Fd	D	Dividend			Sold	07/24/17	N		
50. - USAA International Fd	D	Dividend	N	T					
51. - USAA S&P 500 Index Fd	D	Dividend	O	T					

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - USAA Value Fd	E	Dividend	N	T					
53. - USAA Tax Ex Intermediate Term Fd	D	Dividend			Sold	07/24/17	N	B	
54. - USAA Small Cap Stock Fd	E	Dividend	N	T					
55. - USAA Tax Ex Short Term Fd	A	Dividend			Sold	07/24/17	M		
56. Walden Group LLC		None			Sold	12/31/17	N		
57. 529 Plans - VA Educ Savings Trust (Age Based)	A	Dividend	O	T	Sold (part)	08/03/17	K	E	
58. 529 Plans - College Invest Savings Plan (Age Based)	B	Dividend	L	T					
59. CREF Growth R1 Fd	B	Dividend	J	T					
60. Senate Credit Union account F/K/A Senate Credit Union checking		None	L	T					
61. IRA Acct #1 (H)									
62. - USAATarget Retirement 2030 Fund	F	Dividend	O	T					
63. IRA Acct #2 (H)									
64. - USAA Target Retirement 2030 Fund	D	Dividend	L	T					
65. SEP IRA Acct #1 (H)									
66. - USAA Money Mkt Fd F/K/A USAA Money Mkt	A	Dividend	J	T	Buy (add'l)	02/14/17	J		
67. - USAA Short Term Bond Fd Inst	A	Dividend	J	T					
68. - USAA Income Fd Inst	A	Dividend	K	T					

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69. - USAA Intermediate Term Bond Inst	B	Dividend	K	T					
70. - USAA Managed Allocation Fd Retail F/K/A/ USAA Managed Allocation Fd	A	Dividend	J	T					
71. - USAA High Income Fd Inst F/K/A USAA High Income Fd	A	Dividend	K	T					
72. - USAA Emerging Markets Fd	A	Dividend	J	T					
73. - USAA International Fund Inst	A	Dividend	K	T					
74. - USAA Small Cap Stock Fund Inst	B	Dividend	J	T	Sold (part)	02/14/17	J		
75. - USAA Income Stock Fund Inst	B	Dividend	K	T	Buy (add'l)	12/08/17	J		
76. - USAA Growth Fund Instl	A	Dividend	K	T					
77. Brokerage Acct #2 (H)									
78. - USAA Money Mkt Fd F/K/A USAA Money Market	A	Interest	J	T					
79. Brokerage Acct #3 (H)									
80. - USAA Tax Exempt Money Mkt Fd F/K/A USAA Tax Exempt MMKT	A	Dividend	J	T					
81. - Aurora Wtr Rev Muni Bond 8/1/22	B	Interest			Sold	07/25/17	K	A	
82. - Boulder County CO Open Space Cap 7/15/29	B	Interest			Sold	07/25/17	L	C	
83. - Broomfield Sales and Use Tax 12/1/27	B	Interest			Sold	07/25/17	L	A	
84. - Colo Springs Col Util Muni Bond 11/15/20	A	Interest			Sold	07/25/17	J	A	
85. - Colo Springs Col Utl Muni Bond 11/15/26	B	Interest			Sold	07/25/17	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. - Denver Exc Tax Rev Muni Bond 9/1/18	B	Interest			Sold	07/25/17	K	A	
87. - Denver Colo City & Cnty Sch Dist 12/1/31	B	Interest			Sold	07/25/17	K	B	
88. - Longmont Co Enterprise Wstwtr Rev 11/1/26	B	Interest			Sold	07/25/17	K	C	
89. - Mesa College Muni Bond 5/15/23	B	Interest			Sold	07/25/17	K	B	
90. - Pueblo Cnty Sch Dist Muni Bond 12/15/21	B	Interest			Sold	07/25/17	K	C	
91. - Summit Cnty School Dist 12/1/21	A	Interest			Sold	07/25/17	K	B	
92. - Univ Colo Ent Sys 6/1/29	A	Interest			Sold	07/25/17	K	B	
93. - Univ Colo Ent Sys 6/1/31	B	Interest			Sold	07/25/17	K	B	
94. - Weld Sch Dist Muni Bond 12/1/19	B	Interest			Sold	07/25/17	K	B	
95. - University Northn Colo Greeley Inst Bond 6/1/30	A	Interest			Sold	07/25/17	K	B	
96. - Greely Colo Wtr Rev Ref Bds 8/01/22	B	Interest			Sold	07/25/17	K	A	
97. - Arapahoe Cnty Colo Sch Dist 12/01/28	B	Interest			Sold	07/25/17	L	B	
98. - Platte River Pwr 6/1/25	A	Interest			Sold	07/25/17	K		
99. - Fort Lewis College Brd Trustees Bond 10/1/2030	B	Interest			Sold	07/25/17	K		
100. - Colorado Sch Mines Instl Enterprise Rev Bond 8/1/2032	B	Interest			Sold	07/25/17	K		
101. - USAA Tax Exempt Short Term Retail Fd	A	Interest			Sold	07/28/17	L	A	
102. - Estes VY Colo rec & Pk Dist GO Bds 12/1/2033	B	Interest			Buy	01/09/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	**Date of Report**
Gorsuch, Neil M.	05/15/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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103.					Sold	07/28/17	K	A	
104. Brokerage Acct #4 (H)									
105. - USAA Tax Exempt Money Mkt Fd F/K/A USAA Tax-Ex Money Mkt	A	Interest	K	T					
106. - USAA Savings CD 01/09/17	A	Interest			Redeemed	01/09/17	K		
107. - USAA Treasury Money Mkt Fd F/K/A USAA Treasury MMKT	A	Interest	K	T	Sold (part)	01/03/17	J		
108.					Buy (add'l)	01/09/17	K		
109.					Buy (add'l)	01/31/17	K		
110.					Sold (part)	02/08/17	J		
111.					Sold (part)	03/08/17	J		
112. Brokerage Acct #5 (H)									
113. - USAA Tax Exempt Money Mkt Fd F/K/A USAA Tax-Ex Money Mkt	A	Dividend	M	T					

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. This report was prepared on my behalf by my accountant.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Neil M. Gorsuch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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