

Convective pattern on the surface of the giant star π^1 Gruis

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Convection plays a major role for many types of astrophysical processes^{1,2} including energy transport, pulsation, dynamo, wind of evolved stars, dust clouds on brown dwarfs. So far most of our knowledge about stellar convection has come from the Sun. Of the order of two million convective cells of typical size ~ 2000 kilometers are present on the Solar surface³, a phenomenon known as granulation. But on the surface of giant and supergiant stars, which can be few hundred times larger than the Sun, the low surface gravities should engender only a few large convective cells³. Deriving characteristic properties of convection (like granule size and contrast) for the most evolved giant and supergiant objects is a difficult task. In those stars, the photosphere is obscured by dust, which partially masks the convective patterns, making their measurement difficult⁴. But when the photosphere was accessible to observations, the properties of convection were so far inferred from model-dependent fitting of asymmetric structures^{5,6,7}. This indirect method provides however no clue about the physical origin of the fitted spots^{5,6,7}. Here we present interferometric images of the surface of the evolved giant star π^1 Gruis, of spectral type S5,7^{8,9} denoting a star with strong ZrO bands in its spectrum. Our images show a nearly circular dust-free atmosphere, which is very compact and weakly affected by molecular opacities. We find that the stellar surface shows a complex convective pattern with an average intensity contrast of 12% that increases towards shorter wavelengths. Through the analysis of the power spectrum of the images we derive a characteristic horizontal granulation size of $(1.2 \pm 0.2) \times 10^{11}$ m, corresponding to 27% of the stellar diameter. Our measurements fall along the scaling relations between convective cell size, effective temperature, and surface gravity predicted by the mixing-length theory and multi-dimensional radiation-hydrodynamic simulations of stellar surface convection^{10,11,12}.

π^1 Gruis was observed with the 4-telescope H-band beam combiner PIONIER¹³ mounted at the Very Large Telescope Interferometer (Cerro Paranal, Chile) during the nights of 2014 September 25 and 29. The observations (see also the Data section in Methods) cover three spectral channels across the near-infrared H-band (central wavelengths are 1.625, 1.678, and 1.730 μm ; the width of the filters is 0.0483 μm , corresponding to a spectral resolution of 35). Thanks to the excellent Fourier plane coverage acquired, and to the good signal-to-noise ratio (Extended data Fig. 1), we are able to reconstruct model-independent images in each spectral channel (Fig. 1). For this purpose, we use the image reconstruction software SQUEEZE¹⁴, based on a Markov chain Monte Carlo (MCMC) approach to the regularized maximum likelihood problem. To assess the reliability of the image we also use a different image reconstruction algorithm, MiRa¹⁵. The images from SQUEEZE and MiRa have very similar characteristics and are shown in Fig. 1 (see also the Image Reconstruction section in Methods). The dusty envelope enshrouding the star is transparent in the wavelength range of our observations. The major molecular contributions in this wavelength range are CO and CN; this molecular contribution is the weakest in the longest-wavelength filter, which can be considered as probing the continuum. The images show a stellar disc with the diameter weakly dependent on the wavelength, thus pointing to the fact that the molecular envelope is very shallow,

56 and we are probing the stellar surface. There are patchy structures all well within the nearly circular stellar
57 surface (as opposed for instance to the situation of the well studied^{7,16} supergiant Betelgeuse). For all these
58 reasons, we may infer that the patterns seen on the stellar surface are the actual convective granules.
59 Arguably this is the most detailed model-independent (see the Image Reconstruction section in Methods)
60 image of convective patterns on the surface of a giant star ever obtained.

61
62 We estimated the intensity contrast¹⁷ $\Delta I_{\text{rms}}/\langle I \rangle$, after correcting the intensity profile I for the limb
63 darkening effect (see the Power Spectrum section in Methods). This correction ensures that the contrast is
64 only sensitive to the convective pattern. We obtain $13.1 \pm 0.2\%$, $12.3 \pm 0.4\%$, and $11.9 \pm 0.4\%$ in the three
65 spectral channels (ordered by increasing wavelength), where the (statistical) uncertainty corresponds to the
66 standard deviation of the contrast on the various images produced by the Markov-chain Monte-Carlo
67 approach used within the SQUEEZE code (see the Image Reconstruction section in Methods). The contrast
68 measurements show a trend towards higher values at shorter wavelengths, going along with a stronger
69 molecular contamination. The 3D models^{18,19} of red giants are still at an exploratory stage, and they do not
70 cover the parameter space of π^1 Gru. Such models predict for the surface convective motions a bolometric
71 intensity contrast of the order of 20%, and contrasts in the H-band, as observed here, may be expected to be
72 lower. Systematic errors (coming from seeing, limited resolution...), that are difficult to assess
73 quantitatively, are also expected to make the observed contrast lower. The above effects (and others) were
74 discussed in the context of direct imaging of solar granulation^{11,17}, but they are likely to reduce the contrast
75 in the interferometric context considered here as well.

76
77 The prospect offered by the granule size in that respect is much better. While in previous studies^{5,6,7}, this
78 quantity was obtained via model fitting, often unconvincingly because of the high values of the resulting
79 reduced χ^2 , our interferometric model-independent images allow us for the first time to derive the granule
80 size directly from a spatial power-spectrum density (PSD) analysis. This technique is routinely applied on
81 theoretical model predictions^{10,11,12}, and is used here to derive the wavenumber ($k = 2\pi\xi$; where $\xi = 1/\lambda$ is
82 the number of wavelengths per unit of distance, not to be confused with the wavelengths of the
83 observations) carrying the maximum power, i.e., the characteristic granule size. The left panel of Figure 2
84 shows the power spectral density as a function of spatial frequency for the three spectral channels of the
85 PIONIER SQUEEZE image-cube. After smoothing the PSD of the three spectral channels to remove the
86 wiggles of the curve, we derive the maximum of the PSD by averaging the position of the three peaks. The
87 error is calculated as the standard deviation of the latter three values. The resulting granulation size is
88 5.3 ± 0.5 mas. The PSD from the MiRa images gives very similar results (right panel of Fig.2), and the
89 granulation size agrees within the error with the one derived from the SQUEEZE images. It is not possible
90 to directly compare this observed value with a global model atmosphere matching π^1 Gru since such a
91 model does not exist. Clearly, stellar surface convection does not look the same all across the HR diagram
92 in terms, for instance, of granulation size. However, if stellar convection obeys the same (magneto-
93)radiation-hydrodynamic processes all across the HR diagram, it may be hoped that the parametric
94 formulae relating the characteristic granule size to the stellar parameters, based on predictions from the
95 mixing-length theory of convection²⁰ and from models for less-evolved stars^{10,11,12}, could be applicable to
96 π^1 Gru as well.

97
98 In order to perform this comparison between the spatial structure detected at the surface of π^1 Gru and these
99 parametric relations, we convert the typical angular size of the granules observed at the surface of π^1 Gruis
100 into linear size. We use π^1 Gruis parallax of 6.13 ± 0.76 mas²¹, yielding a characteristic linear granule size
101 $x_g = (1.2 \pm 0.2) \times 10^{11}$ m. The comparison requires as well the knowledge of π^1 Gruis atmospheric
102 parameters (see the Stellar parameters section in Methods): $T_{\text{eff}} = 3200$ K, $\log g = -0.4$, solar metallicity,
103 and mean molecular weight $\mu = 1.3$ g mole⁻¹ for a non-ionized solar mixture with 75% H and 25% He in
104 mass.

105
106 The mixing length theory of convection predicts that the characteristic granule size x_g scales linearly with
107 the pressure scale-height H_p ¹⁰:

$$x_g = 10 H_p$$

109 where $H_p = T_{\text{eff}} R_{\text{gas}} (\mu\text{g})^{-1}$, and where $R_{\text{gas}} = 8.314 \times 10^7 \text{ erg K}^{-1} \text{ mole}^{-1}$ is the gas constant. The
 110 proportionality factor of 10 ensures that the formula correctly predicts the granulation at the surface of the
 111 Sun (Ref. 11 and Fig. 3). Expressing x_g in units of 10^6 m , g in cgs, and T_{eff} in Kelvin, Eq. (1) becomes¹⁰:

$$112 \log x_g = \log T_{\text{eff}} - \log g - \log \mu + 0.92 \quad (2)$$

114 or $x_g = 5.1 \times 10^{10} \text{ m}$ with π^1 Gruis atmospheric parameters. More recent 3D models¹² have extended this
 115 early analysis to FGK dwarfs and K giants, and predict the size of the convective granules to depend on the
 116 stellar parameters in a way very similar to the above relation (with the same units as above):

$$117 \log x_{g, \text{Trampedach}} = (1.321 \pm 0.004) T_{\text{eff}} - (1.0970 \pm 0.0003) \log g + (0.031 \pm 0.036)$$

118 This relation yields $x_{g, \text{Trampedach}} = 1.2 \times 10^{11} \text{ m}$ for π^1 Gruis. Finally, the CIFIST grid^{11,22} covers also M-
 119 type stars, and sub-solar metallicities (expressed as $[\text{Fe}/\text{H}] = \log_{10}(\text{N}(\text{Fe})/\text{N}(\text{H}))_{\text{star}} - \log_{10}(\text{N}(\text{Fe})/\text{N}(\text{H}))_{\odot}$, where $\text{N}(\text{A})$ is the number density of element A and \odot denotes the Sun). It follows¹¹:

$$122 \log x_{g, \text{Tremblay}} = 1.75 \log(T_{\text{eff}} - 300 \log g) - \log g + 0.05[\text{Fe}/\text{H}] - 1.87$$

123 i.e., $x_{g, \text{Tremblay}} = 4.9 \times 10^{10} \text{ m}$ for π^1 Gruis. Despite the fact that none of the above relations rely on stellar
 124 models matching π^1 Gruis atmospheric parameters (corresponding to a very evolved late-type giant star),
 125 their predictions are in fairly good agreement as it is shown in Fig. 3. This result suggests that predictions
 126 from current models of stellar surface convection may be extrapolated in a fairly satisfactory way to the
 127 region of the Hertzsprung-Russell diagram where luminous red giants are located. Finally, in the future,
 128 studies such as this should secure time-series images to address the issue of the lifetime of the observed
 129 convective structures, which is another important way of comparing observations with model predictions.

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Full Methods and associated references are available online.

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Author Contributions

This project was initiated by C.P.. A.M. carried out the observations. J.B.L.B. performed the data reduction, F.B. and J.B.L.B. performed the image reconstruction. The contrast and the power spectrum analysis was carried out by C.P., B.F. and F.B.. The stellar parameter determination was made by C.P., S.V.E., S.S., and K.K.. A.J. gave crucial help through all the scientific analysis. The text was written by C.P., F.B. and A.J., and edited by the other authors that contributed to the scientific discussion.

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Figure 1: The stellar surface of π^1 Gruis. Image of the stellar surface of π^1 Gruis reconstructed from the interferometric data using the SQUEEZE¹⁴ algorithm (upper panels), or the MiRa¹⁵ algorithm (lower panels). From left to right are images in the spectral channels centered on 1.625 μm (a, a'), 1.678 μm (b, b') and 1.730 μm (c, c'). The angular resolution of the observations is $\lambda/2B \sim 2$ mas and is represented with a circle at the bottom right of panel a). In the images one pixel corresponds to 0.45 mas.

Figure 2: Power spectral density. Left panel: the resulting power spectrum from the SQUEEZE images in three different PIONIER spectral channels. The granulation size is derived by averaging the maximum of the three curves after smoothing.

Right panel: comparison between the power spectrum of the SQUEEZE and MiRa images corresponding to the first spectral channel of PIONIER (1.625 μm). The peak of the MiRa images corresponds to a granulation size consistent (within the error bar) with the one derived from the SQUEEZE image. The grey shaded area on the left marks the limit of the box of the image, the grey shaded area on the right marks the limit of the angular resolution of our observations ($\lambda/2B$, as indicated on Fig. 1).

216 **Figure 3: The characteristic horizontal scale of granulation of π^1 Gru versus theoretical predictions.**
217 The characteristic granulation size obtained from the PIONIER images of π^1 Gru (triangle) is quite different
218 from the solar value (circle). Despite the fact that theoretical predictions (dashed line¹⁰, dotted line¹¹, and
219 thick line¹²), had to be extrapolated to match π^1 Gru stellar parameters ($T_{\text{eff}} = 3200$ K, $\log g = -0.44$), they
220 are in good agreement with the observations.

224 **Methods**

226 **Data**

227 The target of this study was observed with the PIONIER¹³ instrument mounted at the Very Large Telescope
228 Interferometer (VLTI, Cerro Paranal). The light from the four Auxiliary Telescopes (ATs), with 1.8 m
229 aperture, was combined to obtain 303 spectrally-dispersed visibilities and 201 closure phases (Extended
230 data Fig.1). The maximum baseline used to collect the observations is 90 m corresponding to an array
231 angular resolution $\lambda/2B$ (where B stands for baseline, the distance between two apertures) of approximately
232 2 mas. The data reduction was performed using the `pndrs` package¹³. Following the standard procedure of
233 PIONIER data reduction, the calibrated data are the average of 5 consecutive files of each observing block.
234 A systematic relative uncertainty of 5% is added on the data. The stars HD 209688 (with a diameter²³ 2.62
235 ± 0.03 mas) and HD 215104 (with diameter²⁴ 1.7 ± 0.1 mas) were used as calibrators. These objects were
236 selected by using the SearchCal tool developed by the Jean-Marie Mariotti Center (JMMC).

238 **Image Reconstruction**

239 The image reconstruction was performed using two different algorithms, SQUEEZE¹⁴ and MiRA¹⁵, to
240 assess the reliability of the image reconstruction process. Using regularized maximum likelihood, MiRA
241 minimizes a joint criterion which is the sum of (1) a likelihood term which measures the compatibility with
242 the data, and (2) a regularization term which imposes priors on the image. The relative weight between
243 these two terms is controlled by the “hyperparameter” factor μ , and we use the so-called L-curve approach
244 to estimate its optimal value for each regularizer²⁵. The output image is 64×64 - pixel wide, with a pixel
245 scale of 0.45 mas pixel⁻¹. After testing different priors and regularizations to identify possible spurious
246 structures in the reconstructions, we concluded that a trustworthy image is obtained with the MiRA
247 smoothness regularizer, without a prior image. SQUEEZE is based on a Markov-chain Monte Carlo
248 (MCMC) approach to the regularized maximum-likelihood problem. It implements parallel simulated
249 annealing and parallel tempering methods, enabling the use of non-convex or non-smooth regularizations
250 that are not implemented in MiRA. SQUEEZE can also handle multi-wavelength data sets with again
251 possibly non-convex and non-smooth trans-spectral regularizations. The π^1 Gruis images were
252 reconstructed with the same pixel scale and field of view as used for the MiRA reconstruction.

254 We first ran fifty independent, parallel, simulated annealing MCMC chains of 16×16 -pixel reconstructions
255 at a quarter of the final resolution (1.8 mas/pixel). Then we ran fifty MCMC chains of 32×32 -pixel images
256 at half the final resolution (0.9 mas/pixel), initializing the chains using the mean image over the chains of
257 the 16×16 -pixel run. Finally we ran fifty chains of 64×64 -pixel reconstruction at full resolution (0.45
258 mas/pixel), initializing the chains with the mean image of the 32×32 -pixel run. The final reconstruction is
259 again the mean image over the 64×64 -pixel chains. The whole procedure is designed to avoid falling into
260 local minima, making sure the image is optimal at the lower resolutions before moving on to filling finer
261 details.

263 Since selecting an adequate regularization is crucial for imaging quality, we determined an adequate
264 combination of regularizers and regularizer weights by simulation. We generated “ground-truth” images of
265 spotless and spotted limb-darkened discs, and using our code OIFITS-SIM we produced OIFITS data with
266 the same (u,v) -coverage and signal-to-noise as the original π^1 Gruis data set. Then we followed the
267 reconstruction procedure described above for several combinations of regularizers known to work for
268 stellar surfaces (entropy, total variation, l_0 pseudo-norm, field-of-view centering, wavelets, ...) and
269 regularizer weights. We then selected the “optimal” combination/weights as the one that minimized the
270 absolute mean difference between the reconstructions and the ground-truth discs: i.e. they do not introduce

271 features to the featureless discs, and recover well existing spots. We found that a combination of spot
272 regularizer²⁶ and l_0 sparsity in the image plane gave the best result.

273
274 The final SQUEEZE image has a reduced $\chi^2 \sim 1.3$ and is remarkably similar to the image reconstructed
275 with MiRA. Images at one MCMC standard deviation from the mean display the same features overall,
276 though the details of the bright spots do differ slightly (Extended Data Fig. 2). It should be noted that our
277 reconstructions were done on the three PIONIER spectral channels independently. For comparison
278 SQUEEZE was also used in polychromatic mode (using total variation as a transpectral regularizer), but we
279 did not find any major differences between the polychromatic images and the channel-by-channel
280 approach.

281 **Power spectrum**

282
283 The power spectral density is the integral over rings comprising wavenumbers in a certain interval around k
284 $= 2\pi\xi$; where $\xi = 1/\lambda$ is the number of wavelengths per unit of distance of the squared amplitude of the 2-
285 dimensional Fourier transform of the image¹⁷. The power-spectrum analysis of the original images
286 produces a dominant peak at the wavenumber corresponding to the diameter of the star, followed by several
287 lobes containing information of higher order. To be able to separate the peak associated with the typical
288 granule size from the one associated to the stellar diameter, one needs to subtract first the stellar disc from
289 the image. To perform this step we designed two circular masks (one based on a Gaussian intensity profile,
290 and another on the MARCS model best fitting the photometry), and a square mask fully enclosed on the
291 stellar surface. Both the Gaussian and the MARCS intensity profiles introduce some spurious signal in the
292 final PSD, due to the fact that the intensity profile **does** not match well the reconstructed one. In particular,
293 the MARCS intensity profile is steeper than the observed one. The square mask provides a final image
294 which is 24x24 pixels, and is free of the limb effects. The square mask is the one providing the cleanest
295 PSD, and it is therefore the one discussed in the paper (Fig.2).

296 Before proceeding with the PSD analysis, we increased the number of padding points and rescaled the
297 background of the image to the mean intensity of the stellar surface. The method was applied as well to the
298 MiRA image, which delivers a power spectral density very similar to the one derived from the SQUEEZE
299 image, as shown in Fig. 2.

301 **Stellar parameters**

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303 The stellar parameters of π^1 Gruis available from the literature⁹ are effective temperature of 3100 K, a
304 luminosity⁹ of 7200 L_{\odot} , and a current mass⁹ of 1.5 M_{\odot} . Taking advantage of the new grid²⁷ of MARCS
305 models with S-type chemistry, we decided to perform a new parameter estimation. On the basis of the
306 literature values, we calculated a small grid of models covering the following parameter space: $2000 \leq T_{\text{eff}}$
307 ≤ 3200 K, with steps of 200 K; $\log g = 0$ and -0.44 ; 1, 1.3, and 2 solar masses; $[\text{s/Fe}] = 1$ and 2 dex; $\text{C/O} =$
308 $0.5, 0.752, 0.899, 0.925, 0.951, 0.971, \text{ and } 0.991$; microturbulence = 2 km s^{-1} . The parameters of the model
309 reproducing best the photometry (Extended data Figure 3), which are later used for the comparison with the
310 theoretical equations of the granule size, are $T_{\text{eff}} = 3200$ K, $\log g = -0.44$, solar metallicity, s-process
311 element abundances enhanced by 1 dex, and $\text{C/O} = 0.991$. These values are in agreement with the literature
312 ones. The diameter of π^1 Gruis was derived by fitting the PIONIER visibility data with a uniform disc.
313 This choice is justified because intensity profiles derived from the MARCS model atmospheres are very
314 similar to a uniform disc in the first visibility lobe. For the fitting we used the LitPro program provided by
315 the JMMC.

316 The equivalent UD diameter derived is 18.37 ± 0.18 mas, which corresponds to about 658 solar diameter
317 for a parallax²¹ of 6.13 mas. Our diameter estimation is in agreement with the literature values^{9,28}.

319 **Code availability**

320 The image reconstruction code SQUEEZE is publicly available at:

321 <https://github.com/fabienbaron/squeeze>

322 The image reconstruction code MiRa is publicly available at:

323 <https://github.com/emmt/MiRA>

324 **Data availability**

326 The reduced PIONIER data sets are available in the <http://oidb.jmmc.fr/> repository.

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Extended data

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Extended data Figure 1. The PIONIER data. The upper left panel shows in black the PIONIER visibilities, and in blue the Fourier Transform of the SQUEEZE image (first spectral channel). The upper right panel is the same as the previous panel for the closure phase. The lower left panel shows the u-v coverage of the data.

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Extended data Figure 2. SQUEEZE images and error images. The first row of images corresponds to the adopted SQUEEZE images; the second row labeled with '+STD DEV' corresponds to images one standard deviation above the average image. The third row of images, labeled '-STD DEV' shows images one standard deviation below the average image.

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Extended data Figure 3. Spectral energy distribution. Comparison between the spectral energy distribution (yellow stars) and the best fitting MARCS synthetic spectrum (black line). Note the presence of a moderate infrared excess longwards of 10 μm attributable to circumstellar dust.

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