

**FormNo.MGT-9
EXTRACT OF ANNUAL RETURN**

As on the financial year ended on 31st March, 2019
[Pursuant to section 92 (3) of the Companies Act, 2013 and rule 12 (1) of the
Companies (Management and Administration) Rules, 2014]
ANNEXURE-I TO THE DIRECTORS REPORT

I. REGISTRATION AND OTHER DETAILS:

Particulars	Information
CIN	U65929KA2018PLC116815
Name of the Company:	Zerodha Broking Limited
Category/ Sub-Category of the Company:	Unlisted Public Company
Address of the registered office and contact details	153/154, 4th Cross, 4th Phase, Dollars Colony, Opposite Clarence School, J.P Nagar, Bangalore -560078
Whether listed company	No
Name, Address and Contact details of Registrar and Transfer Agent, if any	CDSL Ventures Limited Marathon Furturex, A Wing, 25 th Floor, Mafatlal Mills Compound, N.M Joshi Marg, Lower Parel (E) Mumbai -400013

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated: -

Sl. No	Name and Description of main Products/ Services	NIC Code of the Product/ service	% total turnover of the company
1.	Security Dealing Activities	67120	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No	Name and Description of main Products/ Services	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
NIL					

VI. SHAREHOLDING PATTERN (Equity Share Capital Breakup as percentage of total Equity)

i) Category-wise Shareholding

Category of Shareholders	No. of shares held at the beginning of the year				No of shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
A. Promoters.									
(1) Indian									
a) Individual/H.U.F	2,09,97,000	-	2,09,97,000	69.99%	6,99,90,000	Nil	6,99,90,000	69.99%	Nil
b) Central Govt	-	-	-	--	-	-	--	-	-
c) State Govt.(s)	-	-	-	--	-	-	--	-	-
d) Bodies Corporate	90,03,000	-	90,03,000	30.01%	3,00,10,000	Nil	3,00,10,000	30.01%	Nil
e) Banks/ FI	-	-	-	--	-	-	--	-	-
f) Any Other	-	-	-	--	-	-	--	-	-
Sub-Total (A)(1)	3,00,00,000	-	3,00,00,000	100%	10,00,00,000	Nil	10,00,00,000	100%	Nil
(2) Foreign	-	-	-	--	-	-	--	-	-
Sub-Total (A)(2)	-	-	-	--	-	-	--	-	-
Total Shareholding of Promoters (A)=(A) (1)+(A)(2)	3,00,00,000	Nil	3,00,00,000	100%	10,00,00,000	Nil	10,00,00,000	100%	Nil
B. Public Shareholding	-	-	-	--	-	-	--	-	-
1) Institutions	-	-	-	--	-	-	--	-	-
Sub- Total (B)(1)	-	-	-	--	-	-	--	-	-

Non- Institutions	-	-	-	--	-	-	--	-	-
a) Bodies Corporate	-	-	-	--	-	-	--	-	-
b) Individuals	-	-	-	--	-	-	--	-	-
c) Others (specify)	-	-	-	--	-	-	--	-	-
Sub-Total (B)(2)	-	-	-	--	-	-	--	-	-
Total Public Shareholding (B)=(B) (1)+(B)(2)	-	-	-	--	-	-	--	-	-
C(1) Non promoter Non Public Shareholding	-	-	-	--	-	-	--	-	-
Employee Stock Options Scheme Trust	-	-	-	--	-	-	--	-	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	--	-	-	--	-	-
Sub Total (C)= (C) (1) + (2)	-	-	-	--	-	-	--	-	-
Grand Total (A+B+C)	3,00,00,000	Nil	3,00,00,000	100%	10,00,00,000	Nil	10,00,00,000	100%	Nil

(ii) Shareholding of Promoters

Sl. No	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year.
		No. of Shares	% of Total Shares of the Company	% of shares Pledged/ encumbered to total shares	No. of Shares	% of Total Shares of the Company	% of shares Pledged/ encumbered to total shares	
1	Nithin Kamath	92,73,000	30.91	-	3,09,10,000	30.91	-	-
2	Nikhil Kamath	86,73,000	28.91	-	2,89,10,000	28.91	-	-
3	Seema Patil	29,91,000	9.97	-	99,70,000	9.97	-	-
4	Revathi Kamath	30,000	0.1	-	1,00,000	0.1	-	-
5	Udyavara Raghuram Kamath	30,000	0.1	-	1,00,000	0.1	-	-
6	Austin Global Ventures Private Limited	89,73,000	29.91	-	2,99,10,000	29.91	-	-
7	Straddle Capital Private Limited	30,000	0.1	-	1,00,000	0.1	-	-

iii) Change in Promoter's Shareholding

	Shareholding at the Beginning of the year		Cumulative Shareholding during the year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the Beginning of the year	3,00,00,000	100%		
Changes during the year			7,00,00,000	
At the end of the year			10,00,00,000	100%

iv) Shareholding Pattern of Top Ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs) : Not Applicable, as The entire Equity Capital is held by Promoters only.

v) Shareholding of Directors and Key Managerial Personnel (KMP)

Sl. No	Particulars	Shareholding at the Beginning of the year.		Cumulative Shareholding during the year.	
		No. of Shares	% of Total Shares of the Company	No. of Shares	% of Total Shares of the Company
1.	Nithin Kamath (Managing Director & CEO)				
	At the Beginning of the year	92,73,000	30.91%		
	Changes during the year			2,16,37,000	
	At the end of the year			3,09,10,000	30.91%

Sl. No	Particulars	Shareholding at the Beginning of the year.		Cumulative Shareholding during the year.	
		No. of Shares	% of Total Shares of the Company	No. of Shares	% of Total Shares of the Company
2.	Nikhil Kamath (Whole-time Director & CFO)				
	At the Beginning of the year	86,73,000	28.91%		
	Changes during the year			2,02,37,000	
	At the end of the year			2,89,10,000	28.91%

Sl. No	Particulars	Shareholding at the Beginning of the year.		Cumulative Shareholding during the year.	
		No. of Shares	% of Total Shares of the Company	No. of Shares	% of Total Shares of the Company
3.	Seema Patil (Director)				
	At the Beginning of the year	29,91,000	9.97%		
	Changes during the year			69,79,000	
	At the end of the year			99,70,000	9.97%

VI. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i)Principal Amount	--	--	--	--
ii)Interest due but not paid	--	--	--	--
iii)Interest accrued but not due	--	--	--	--
Total (i+ii+iii)	--	--	--	--
Change in Indebtedness during the financial year				
· Addition	24,68,162	2,98,68,08,672	-	2,98,92,76,834
- Reduction				
Indebtedness at the end of the financial year				
i)Principal amount	24,68,162	2,98,68,08,672	-	2,98,92,76,834
ii)Interest due but not paid				
iii) Interest accrued but not paid				
Total (I + ii + iii)	24,68,162	2,98,68,08,672	-	2,98,92,76,834

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

- A. REMUNERATION TO MANAGING DIRECTOR, WHOLE-TIME DIRECTOR AND OR MANAGER: NIL
- B. REMUNERATION TO OTHER DIRECTORS: NIL
- C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD: NIL

VII. PENALTIES/PUNISHMENT/COMPOUNDING/OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCLT /COURT]	Appeal made, if any (give details)
COMPANY					
Penalty	--	--	--	--	--
Punishment	--	--	--	--	--
Compounding	--	--	--	--	--
DIRECTORS					
Penalty	--	--	--	--	--
Punishment	--	--	--	--	--
Compounding	--	--	--	--	--
OTHER OFFICERS IN DEFAULT					
Penalty	--	--	--	--	--
Punishment	--	--	--	--	--
Compounding	--	--	--	--	--

For and on behalf of the Board of Directors

ZERODHA BROKING LIMITED

Sd/-

Nithin Kamath
Managing Director & CEO
DIN: 03142935

Sd/-

Nikhil Kamath
Wholetime Director & CFO
DIN: 03142938

Place: Bangalore

Date: 05.09.2019